

Express Mail No.: EL 752243347 US

60709-00012
PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Barton et al.
Serial No.: 09/848,051
Filed: May 3, 2001
For: METHODS AND SYSTEMS
FOR COMPLIANCE
PROGRAM ASSESSMENT

Group No.: 2152

Examiner:

RECEIVED
APR 04 2002
Technology Center 2100

Commissioner for Patents
Washington, D.C. 20231

AMENDMENT TRANSMITTAL

1. Transmitted herewith is a:
 - Preliminary Amendment
 - Submission Of Marked Up Paragraphs
 - Request for Approval of Drawing Changes
 - Transmittal of Formal Drawings
 - Certificate of Mailing By Express Mail
 - Return Post Card

STATUS

2. Applicant

 Claims small entity status.
 X Is other than a small entity.

EXTENSION OF TERM

3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136 apply.

(complete (a) or (b), as applicable)

- (a) _____ Applicant petitions for an extension of time under 37 C.F.R. 1.136
(Fees: 37 C.F.R. 1.17(a)-(d) for the total number of months checked below:)

Extension for response within:	Other than small entity Fee	Small entity Fee (if applicable)
_____ first month	\$ 110.00	\$ 55.00
_____ second month	\$ 400.00	\$ 200.00
_____ Third month	\$ 920.00	\$ 460.00
_____ Fourth month	\$1,440.00	\$ 720.00
_____ fifth month	\$1,960.00	\$ 980.00

Fee: \$ _____

If an additional extension of time is required, please consider this a petition therefor.

(Check and complete the next item, if applicable)

_____ An extension of _____ months has already been secured. The fee paid therefor \$ _____ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$ _____.

OR

- (b) X Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

FEE FOR CLAIMS

4. The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

	(Col. 1) CLAIMS REMAINING AFTER AMENDMENT		(Col. 2) HIGHEST NO. PREVIOUSLY PAID FOR	(Col. 3) PRESENT EXTRA	SMALL ENTITY ADDITIONAL RATE FEE	OR	OTHER THAN SMALL ENTITY ADDITIONAL RATE FEE
TOTAL	118	MINUS	118	=0	x \$9 = \$		x \$18 = \$0
INDEP.	8	MINUS	8	=0	x \$42 = \$		x \$84 = \$0
— FIRST PRESENTATION OF MULTIPLE DEP. CLAIM					+ \$130 = \$		+ \$280 = \$
					TOTAL ADDITIONAL FEE \$	OR	TOTAL ADDITIONAL FEE \$0

- (a)
- X
- No additional fee for Claims is required

OR

- (b) _____ Total additional fee for claims required \$

FEE PAYMENT

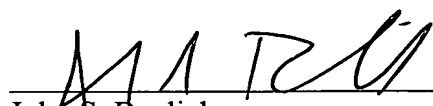
5. _____ Attached is a check in the sum of \$_____
- ✓ Charge Deposit Account No. 01-2384 the sum of \$0.00.
A duplicate of this transmittal is attached.

FEE DEFICIENCY

- 6.
- ✓
- If any additional extension and/or fee is required, charge Deposit Account No. 01-2384.

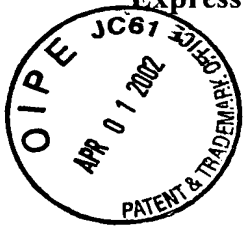
AND/OR

- ✓ If any additional fee for claims is required, charge Deposit Account No. 01-2384.
7. _____ Other:


 John S. Beulick
 Reg. No. 33,338

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TRANSMITTAL OF FORMAL DRAWINGS

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Enclosed are twenty-seven (27) sheets of formal drawings for the above-identified patent application.

Respectfully submitted,

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